# **NERank: Ranking Named Entities in Document** Collections

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## Introduction

- Named entity ranking is necessary to bring semantics to plain documents.
- Rank order of named entities should be determined by the relative importance considering the document collection.
- NERank is the first attempt to tackle the problem of named entity ranking directly from documents.

#### **NERank Workflow**

- Tripartite Graph Construction
- > The part aims to model the semantic relations between entities and documents indirectly by topic modeling. A weighted, tripartite graph is employed to represent < *document*, *topic*, *entity* > relations.

#### Random Walk Process

- $\triangleright$  Select a topic  $t_i \in T$  with probability  $r_0(t_i)$  as the starting point. Make one of the following three transfers iteratively until the system reaches equilibrium ( $\alpha$  and  $\beta$  are parameters where  $\alpha > 0$ ,  $\beta > 0$  and
  - $\alpha + \beta < 1$ ):
  - ▷ With probability  $\alpha$ , the random surfer walks through the path  $t_i \rightarrow d_j \rightarrow t_k$ .  $d_j \in D$  is selected with probability  $\frac{\theta_{j,i}}{\sum_{d_k \in D} \theta_{k,i}}$ . Next,
    - $t_k \in T$  is selected with probability  $\theta_{i,k}$ .
  - $\triangleright$  With probability  $\beta$ , the random surfer walks through the path  $t_i \rightarrow e_j \rightarrow t_k$ .  $e_j \in E$  is selected with probability  $\frac{\phi_{i,j}}{\sum_{e_k \in E} \phi_{i,k}}$ . Next,
    - $t_k \in T$  is selected with probability  $\frac{\phi_{k,j}}{\sum_{t_m \in T} \phi_{m,j}}$ .

- Prior Topic Rank Estimation
- ▷ The part is responsible for assigning prior ranks to each topic in the tripartite graph.
- Random Walk Process
- ▷ This part is designed to propagate prior topic ranks to documents and entities through a random walk process on the tripartite graph.



# **Tripartite Graph Construction**

- Named Entity Recognition and Normalization
  - $\triangleright$  Given a document collection D, perform NER and NEN to generate the entity set M and map each  $m \in M$  to the normalized form  $e \in E$ .
- Entity-Aware Topic Modeling
  - $\triangleright$  Model a document  $d \in D$  as the union set of common words and normalized named entities in E.
  - $\triangleright$  Estimate document-topic distribution  $\Theta$  and topic-word distribution  $\Phi$  by

- $\triangleright$  With probability  $1 \alpha \beta$ , the random surfer jumps to a topic node  $t_i$ .  $t_i$  is selected with probability  $r_0(t_i)$ .
- $\blacktriangleright$  Compute the rank of entity  $e_i$ :

$$r(e_i) = \frac{s(e_i)}{\sum_{e_j \in E} s(e_j)}$$

where  $s(e_i)$  is the number of visits to  $e_i$  by random surfers.

# Experiments

- Datasets: Newswire collections where each collection is related to a major international event.
- Metrics: Average Precision@K and MAP (with paired t-test).
- Methods: TF-IDF, TextRank, NERank<sub>Uni</sub> (which assigns prior topic ranks uniformly), **NERank**<sub> $\alpha=0$ </sub> (which sets  $\alpha = 0$  in random walk process) and **NERank**<sub>Full</sub> (proposed approach).
- Results: NERank<sub>Full</sub> outperforms all the baselines.

Table 1: Experimental Results ( $\star$ : p-value $\leq 0.05$ )

Method	AvgP@5	AvgP@10	AvgP@15	MAP
TF-IDF	0.85*	0.79*	0.73*	0.81*
TextRank	0.87*	0.83	0.73*	0.83*
<b>NERank</b> <sub>Uni</sub>	0.80*	0.75*	0.71*	0.78*
<b>NERank</b> $\alpha = 0$	0.72*	0.61*	0.51*	0.62*
<b>NERank</b> <i>Full</i>	0.92	0.87	0.79	0.89

Gibbs sampling in LDA.

Graph Construction

- $\triangleright$  Nodes: documents D, topics T and entities E.
- ▶ Edges: assign weights of < *document*, *topic* > and < topic, entity > edges by respective document-topic and topic-word probabilities.

## **Prior Topic Rank Estimation**

- Estimate the prior rank for topic  $t_i \in T$ :  $r_0(t_i)$ .
- ► Three quality metrics:
- $\triangleright$  Prior probability: the probability that topic  $t_i$  is discussed in D

$$pr(t_i) = \frac{1}{|D|} \sum_{j=1}^{|D|} \theta_{j,i}$$

where  $\theta_{i,i}$  is the probability of topic  $t_i$  given document  $d_i$ .  $\triangleright$  Entity richness: the proportion of entities in words related to topic  $t_i$ 

$$er(t_i) = \frac{1}{Z_{er}} \sum_{j=1}^{|E|} \phi_{i,j}$$

#### **Conclusion and Future Work**

- NERank is an effective method to rank named entities in documents with little human intervention.
- Future work includes:
- A general framework for entity ranking from different types of texts (i.e., documents, tweets, etc.).
- ▷ A complete benchmark for evaluating entity ranking.

#### References

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- where  $\phi_{i,i}$  is the probability of entity  $e_i$  given topic  $t_i$ , and  $Z_{er}$  is a normalization constant.
- ▷ Topic specificity: whether the topic is specific about certain aspects or only provides background information

$$ts(t_i) = \frac{1}{Z_{ts}} \sum_{j=1}^{|D|} \theta_{j,i} \log_2 \theta_{j,i}$$

where  $Z_{ts}$  is a normalization constant.

Ranking topics by linear combination of quality metrics:

 $r_0(t_i) = \frac{1}{7}(w_1 \cdot pr(t_i) + w_2 \cdot er(t_i) + w_3 \cdot ts(t_i))$ where  $Z = \sum_{t' \in T} r_0(t')$  is a normalization factor.  $\forall i, w_i > 0$  and  $\sum_{i} w_{i} = 1$ . Weights are learned using a max-margin technique (a linear-SVM based supervised learning method).

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